

Jc925 U.S. PTO  
09/27/00

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Please type a plus sign (+) inside this box [+]

PTO/SB/05 (12/97)

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09/27/00

## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 03424.P028

Total Pages 3

First Named Inventor or Application Identifier Roger Green Stewart, et al.

Express Mail Label No. EL 638 835 377 US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.  Specification (Total Pages 70)  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
3.  Drawings(s) (35 USC 113) (Total Sheets 28)
4.  Oath or Declaration (Total Pages 5)
  - a.  Newly Executed (Original or Copy)
  - b.  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed) (Note Box 5 below)
  - i.  DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5.  Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.  Microfiche Computer Program (Appendix)
7.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Copy
  - b.  Paper Copy (identical to computer copy)
  - c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. \_\_\_\_\_ Assignment Papers (cover sheet & documents(s))  
9. \_\_\_\_\_ a. 37 CFR 3.73(b) Statement (where there is an assignee)  
\_\_\_\_\_ b. Power of Attorney  
10. \_\_\_\_\_ English Translation Document (if applicable)  
11. \_\_\_\_\_ a. Information Disclosure Statement (IDS)/PTO-1449  
\_\_\_\_\_ b. Copies of IDS Citations  
12. \_\_\_\_\_ Preliminary Amendment  
13.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  
14. \_\_\_\_\_ a. Small Entity Statement(s)  
\_\_\_\_\_ b. Statement filed in prior application, Status still proper and desired  
15. \_\_\_\_\_ Certified Copy of Priority Document(s) (if foreign priority is claimed)  
16.  Other: Copy of postcard with Express Mail Certificate of Mailing  
\_\_\_\_\_  
\_\_\_\_\_

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

Continuation       Divisional       Continuation-in-part (CIP)  
of prior application No: \_\_\_\_\_

18. **Correspondence Address**

Customer Number or Bar Code Label      \_\_\_\_\_  
(Insert Customer No. or Attach Bar Code Label here)  
or  
 Correspondence Address Below

NAME James C. Scheller, Jr.

*J. Scheller*

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09/671659

09/27/00

**FEE TRANSMITTAL FOR FY 2000**TOTAL AMOUNT OF PAYMENT (\$) 3,072.00

Complete if Known:

Application No. \_\_\_\_\_

Filing Date September 27, 2000First Named Inventor Roger Green Stewart, et al.

Group Art Unit \_\_\_\_\_

Examiner Name \_\_\_\_\_

Attorney Docket No. 03424.P028**METHOD OF PAYMENT (check one)**

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name \_\_\_\_\_

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2.  Payment Enclosed:

 Check Money Order Other**FEE CALCULATION****1. BASIC FILING FEE**Large Entity    Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	690	201	345	Utility application filing fee	<u>690.00</u>
106	310	206	155	Design application filing fee	_____
107	480	207	240	Plant filing fee	_____
108	690	208	345	Reissue filing fee	_____
114	150	214	75	Provisional application filing fee	_____

SUBTOTAL (1) \$ 690.00**2. EXTRA CLAIM FEES**

Total Claims	-	Extra	Fee from below	Fee Paid
83	- 20	= 63	X 18	= <u>1134.00</u>
Independent Claims 16	- 3	= 13	X 78	= <u>1248.00</u>
Multiple Dependent Claims	0	X		= <u>0</u>

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity    Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of twenty	<u>1134.</u>
102	78	202	39	Independent claims in excess of 3	<u>1248.</u>
104	260	204	130	Multiple dependent claim	<u>0</u>
109	78	209	39	Reissue independent claims over original patent	_____
110	18	210	9	Reissue claims in excess of 20 and over original patent	_____

SUBTOTAL (2) \$ 2382.00

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>		
Fee Code	Fee (\$)	Fee Code (\$)			
105	130	205	65	Surcharge - late filing fee or oath	_____
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	_____
139	130	139	130	Non-English specification	_____
147	2,520	147	2,520	For filing a request for reexamination	_____
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	_____
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	_____
115	110	215	55	Extension for response within first month	_____
116	380	216	190	Extension for response within second month	_____
117	870	217	435	Extension for response within third month	_____
118	1,360	218	680	Extension for response within fourth month	_____
128	1,850	228	925	Extension for response within fifth month	_____
119	300	219	150	Notice of Appeal	_____
120	300	220	150	Filing a brief in support of an appeal	_____
121	260	221	130	Request for oral hearing	_____
138	1,510	138	1,510	Petition to institute a public use proceeding	_____
140	110	240	55	Petition to revive unavoidably abandoned application	_____
141	1,210	241	605	Petition to revive unintentionally abandoned application	_____
142	1,210	242	605	Utility issue fee (or reissue)	_____
143	430	243	215	Design issue fee	_____
144	580	244	290	Plant issue fee	_____
122	130	122	130	Petitions to the Commissioner	_____
123	50	123	50	Petitions related to provisional applications	_____
126	240	126	240	Submission of Information Disclosure Stmt	_____
581	40	581	40	Recording each patent assignment per property (times number of properties)	_____
146	760	246	380	For filing a submission after final rejection (see 37 CFR 1.129(a))	_____
149	760	249	380	For each additional invention to be examined (see 37 CFR 1.129(a))	_____

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3)\$ 0**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: James C. Scheller, Jr.

Signature J. Scheller Date 9/27/2000

Reg. Number 31,195 Deposit Account User ID \_\_\_\_\_  
(complete if applicable)

09/27/00

JC925 U.S. PTO  
09/671659

## EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL638836377US  
Date of Deposit: SEPTEMBER 27, 2000

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

CONNIE THAYER

(Typed or printed name of person mailing paper or fee)

Connie Thayer

(Signature of person mailing paper or fee)

9-27-00

(Date signed)

Serial/Patent No.: \*\*\* Filing/Issue Date: September 27, 2000  
Client: Alien Technology Corporation  
Title: DISPLAY DEVICES AND INTEGRATED CIRCUITS

BSTZ File No.: 03424\_P028 Atty/Secty Initials: JCS/clt  
Date Mailed: 9-27-00 Docket Due Date: \*\*\*  
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:  
 Amendment/Response (   pgs.)  Express Mail No.: EL638835377US  Check No. 37889  
 Appeal Brief (   pgs.) (in triplicate)  Month(s) Extension of Time  Amt: \$ 3072.00  
 Application - Utility (70 pgs., with cover and abstract)  Information Disclosure Statement & PTO 1449 (   pgs.)  Check No. \_\_\_\_\_  
 Application - Rule 1.53(b) Continuation (   pgs.)  Issue Fee Transmittal  Amt: \_\_\_\_\_  
 Application - Rule 1.53(b) Divisional (   pgs.)  Notice of Appeal   
 Application - Rule 1.53(b) CIP (   pgs.)  Petition for Extension of Time   
 Application - Rule 1.53(d) CPA Transmittal (   pgs.)  Petition for \_\_\_\_\_  
 Application - Design (   pgs.)  Postcard   
 Application - PCT (   pgs.)  Power of Attorney (   pgs.)   
 Application - Provisional (   pgs.)  Preliminary Amendment (   pgs.)   
 Assignment and Cover Sheet  Reply Brief (   pgs.)   
 Certificate of Mailing  Response to Notice of Missing Parts   
 Declaration & POA (5 pgs.) not signed  Small Entity Declaration for Indep. Inventor/Small Business   
 Disclosure Docs & Oig & Copy of Inventor Signed Letter (   pgs.)  Transmittal Letter, in duplicate 3 pages   
 Drawings: 28 # of sheets includes 35 figures  Fee Transmittal, in duplicate 2 pages   
 Other: \_\_\_\_\_